

Butterfield Bank PCC
Butterfield Multi-Asset Fund-GBP Balanced
Sarnia House, Le Truchot, St Peter Port, Guernsey, GY1 1GR
Company Number: 51623
(the “Company”)

Additional Application Form

If you are in any doubt about the contents of this document, you should consult your accountant, legal professional, tax advisor or financial advisor.

The shares will not be finally allotted until the Administrator is satisfied that the Additional Application Form is completed in full and due diligence documentation on the Shareholder held on file by the Administrator is fully complete. (“Applicant/s”). The Company reserves the right to reject any application in whole or in part.

If the amount paid does not correspond to a specific number of shares, the Company will issue such number of shares as is applicable, calculated to four decimal places.

Your application to make an additional investment in the Company should be made by completing this Additional Application Form (the “Additional Application Form”) and emailing the duly completed and signed form to the Administrator, details below.

Administrator: **Sanne Fund Services (Guernsey) Limited (formerly Praxis Fund Services Limited)**
Telephone: +44 (0) 1481 737600
Email: diversified@sannegroup.com

Terms used in this Additional Application Form, shall have the meaning ascribed to them in the Scheme Particulars and Supplemental Scheme Particulars. Shareholders must inform themselves about and observe any applicable legal requirements. It is the responsibility of Shareholders to satisfy themselves as to the full observance of the laws of the relevant country/ies, including the obtaining of any governmental or other consents which may be required, compliance with necessary formalities and the payment of any issue, transfer or other taxes due to such country/ies. As part of our compliance with policies and procedures, telephone conversations with the Administrator’s personnel may be recorded. These recordings may be made with or without the use of a spoken warning, tone or similar notification.

Section 1 : Additional Application into Butterfield Bank PCC – Butterfield Multi-Asset Fund – GBP Balanced

Please confirm your holder code:

C

Please select the class you wish to make and additional investment in.

Class A:

Class B:

Class C:

Please insert the amount you wish to invest, noting the minimum investment amount in Class A is GBP1,000, Class B is GBP5,000 and Class C is GBP10,000.

Investment currency and amount:

Section 1 : Additional Application into Butterfield Bank PCC – Butterfield Multi-Asset Fund – GBP Balanced

1. We warrant that all the information given in this Application Form, and in all documents that have been or will be signed by me/us in connection with the proposed investment, whether in my/our handwriting or not, is true and complete.
2. I/We agree that all the statements in this Application Form and the documents stated above shall be the basis of the proposed contract, that any mis-statement or omission made by myself/ourselves therein may lead to any contract made being declared void by the Company and/or the Administrator, and the Company shall be entitled to deduct all costs and expenses incurred by the Company and/or the Administrator in connection with any mis-statement or omission made by myself/ourselves, from all monies paid by myself/ourselves.
3. I/We agree that no statement, whether made by myself/ourselves or by the person canvassing for or handling this application or by any other person, shall be binding upon the Company and/or the Administrator unless the same be reduced to writing, submitted to the Company and the Administrator and made part of the contract.
4. I/We also agree that should this application be accepted by the Company and the Administrator it will be conditional upon there having been no material alteration to the facts on which the acceptance was based.
5. I/We have read and understood the Company's SPs and SSPs and have acquainted myself/ourselves with the charges of the Investment Adviser, the Custodian, the Administrator and the Company.
6. I am/We are not resident in the United States of America and its territories nor resident in any jurisdiction where investments in the Company would be unlawful or otherwise not permitted.
7. I/We confirm that I am/we are 18 years of age or over and are aware of the risks involved in investing in the Company.
8. I am/We are aware that taxation consequences might be relevant to the acquisition, holding or disposal of shares and that I/we will take appropriate tax advice in this regard and ensure that I/we comply with all laws applicable to my/our country of residence. I/We agree that the Company cannot be held responsible for any tax liability that arises as a result of investing in the Company.
9. I am/We are applicants that can afford to take a higher degree of risk, which may include the risk of the loss of my/our entire investment, and who have/has extensive knowledge and experience in financial and business matters and is/are capable of evaluating the merits and risks associated with an investment in the Company.
10. I/We have carefully read and understood all the terms and conditions outlined in the SPs, SSPs and above, (including but not limited to the Applicant undertakings set out therein), and agree to be bound thereby and warrant the terms thereof.
11. I/We acknowledge that the accounts and notices of the Company will be sent electronically and will be sent to the email address provided in this Application Form.
12. I/We the undersigned confirm that I/we have read and understood this declaration and understand its implications.
13. I/We acknowledge that fractions of Shares may be issued.
14. I/We acknowledge and agree that:
 - 14.1 the Company and to the extent that personal information (as defined in the Company privacy policy which can be found by accessing the following link <https://www.sannegroup.com/site-tools/cookie-privacy-policy/>) is shared with the Controller Affiliates, the Controller Affiliates shall each be considered to be data controllers;
 - 14.2 the Administrator shall be a data processor, save that where it processes personal information (such as client due diligence) in order to satisfy its own 'know your client' requirements, anti-money laundering obligations and any other Regulatory Requirements (as defined in the Company privacy policy which can be found by accessing the link <https://www.sannegroup.com/site-tools/cookie-privacy-policy/>) which require it to make its own determinations as to the purposes of processing, in which case it shall be a data controller in respect of this type of processing;
 - 14.3 by submitting the personal information to the Administrator (acting for and on behalf of the Company):
 - 14.3.1 in the case of an Applicant, I/we represent and warrant that I/we have read and understood the terms of the Privacy Notice (as defined in the Company privacy policy which can be found by accessing the link <https://www.sannegroup.com/site-tools/cookie-privacy-policy/>); and
 - 14.3.2 in the case of a third party Applicant (as defined in the Company privacy policy which can be found by accessing the link <https://www.sannegroup.com/site-tools/cookie-privacy-policy/>), I/we represent and warrant that I/we have:
 - 14.3.2.1 provided adequate notice to the Data Subject (as defined in the Company privacy policy which can be found by accessing the following link <https://www.sannegroup.com/site-tools/cookie-privacy-policy/>) about the content of the Privacy Notice including details set out below and about the transfer of such Personal Information to a Third Country without

Adequacy (as defined in the Company privacy policy which can be found by accessing the following link <https://www.sannegroup.com/site-tools/cookie-privacy-policy/>) as required by the Data Protection Law (as defined in the Company privacy policy which can be found by accessing the following link <https://www.sannegroup.com/site-tools/cookie-privacy-policy/>);

- 14.3.2.2 authority to provide the personal information to the Company, the Controller Affiliates and the Administrator;
- 14.3.2.3 obtained all necessary consents (where necessary) of the Data Subject in order to enable the Company, the Controller Affiliates and the Administrator processing their personal information for the purposes; and
- 14.3.3 I/we represent and warrant that there are no prohibitions or restrictions which would prevent or restrict:
 - 14.3.3.1 the Company, the Controller Affiliates, the Administrator and/or the authorised third parties from processing the personal information for the purposes; or
 - 14.3.3.2 the Company, the Controller Affiliates and/or the Administrator from disclosing or transferring the personal information to the authorised third parties and any competent authorities (including tax authorities) and other bodies in order to provide the services (and services ancillary thereto, or for resolution of disputes or investigations.
- 14.3.4 in the case of a third party applicant, I/we shall, in respect of the personal information I/we process in relation to or arising out of this Application Form:
 - 14.3.4.1 comply with all applicable Data Protection Laws;
 - 14.3.4.2 take appropriate technical and organisational measures against unauthorised or unlawful processing of the personal information and against accidental loss or destruction of, or damage to the personal information;
 - 14.3.4.3 if required, agree with the Company, the Controller Affiliates and the Administrator, such responsibilities of each data subjects' rights and notice requirements to protect the rights and the freedoms of the data subjects; and
 - 14.3.4.4 immediately on demand, fully indemnify the Company and/or the Administrator and/or the any of the Controller Affiliates and keep them fully and effectively indemnified against all costs, demands, claims, expenses (including legal costs and disbursements on a full indemnity basis), losses (including indirect losses and loss of profits, business and reputation), actions, proceedings and liabilities of whatsoever nature arising from or incurred by the Company and/or the Administrator and/or the Controller Affiliates in connection with any failure by me/us as third party applicant to comply with the provisions of this.
- 14.3.5 the Applicant and the third party applicant each acknowledge that the Company, the Controller Affiliates and the Administrator may transfer the personal information to a third country without Adequacy in accordance with the terms of the Privacy Notice as set out using the following link <https://www.sannegroup.com/site-tools/cookie-privacy-policy/>.
- 15 I/We hereby agree we have read and understood the FATCA and CRS section of the Application Form and agree to make the declarations set out under the relevant sections entitled FATCA and CRS.
- 16 I/We hereby agree to provide such information as the Company deems necessary, and may request from time to time, to comply with FATCA, CRS, any FFI ("Foreign Financial Institutions") agreement from time to time in force, or any obligation arising under the implementation of any applicable intergovernmental agreement.
- 17 I/We, hereby confirm that I/we acknowledge that the information contained in this Application Form and information regarding the Controlling Person and any Reportable Account(s) may be reported to the tax authorities of the country in which information is/are maintained and exchanged with tax authorities of another country or countries in which the Controlling Person may be tax resident pursuant to intergovernmental agreements to exchange financial account information.
- 18 I/We certify that I/we are authorised to sign for the Controlling Person, to which this Application Form relates and where I am/we are not the Controlling Person.
- 19 I/We, hereby confirm that I/we certify where I/we have provided information regarding any other person (such as a Controlling Person or other Reportable Person to which this form relates) that I/we will, within 30 days of signing this form, notify those persons that I/we have provided such information and that such information may be provided to the tax authorities of the country in which the information is/are maintained and exchanged with tax authorities of another country or countries in which the person may be tax resident pursuant to intergovernmental agreements to exchange financial account information.
- 20 I/We, hereby confirm that I/we undertake to advise the Administrator within 30 days of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect, and to provide the Administrator with a suitably updated self-certification and declaration within 90 days of such change in circumstances.

By signing this form, I/We acknowledge that I/we have received, understood and if required, taken guidance on the Sps and SSPs, and accept that the investment is suitable to my/our specific investment objectives and needs.

Please note electronic signatures are only accepted using docuSign.

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Sole/1st Applicant signature

Date

Place

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2nd or joint Applicant signature

Date

Place